



**To:** Joint Audit Committee  
**From:** Supt Adam Gallop, Head of Organisational Improvement Centre  
**Date:** 5 August 2021

### **Audit Recommendations – Non-Financial Business Areas – Progress Report**

#### **1. Purpose**

- 1.1 The purpose of this paper is to update the Joint Audit Committee on progress against outstanding non-financial audit recommendations for the Constabulary.

#### **2. Recommendation**

- 2.1 The Committee is asked to note the contents of the report.

#### **3. Background**

- 3.1 Monitoring of the recommendations from internal and external non-financial audit reports is undertaken by the Organisational Improvement Centre (OIC). This is done via an action plan held by OIC.
- 3.2 Recommendations are prioritised into high, medium, or low importance by the auditors. These are then assessed by the Constabulary and strategic owners identified to progress each one.
- 3.3 Actions are placed on to the tri-force Action Monitoring System (AMS).  
On AMS actions are given a status of:
- i. Complete
  - ii. Being progressed
  - iii. Will not be progressed.
- 3.4 The force has introduced an Excel status report, to provide an overview of audits completed, owners, assurance and actions. This will enable the Joint Audit Committee to see clearly how many actions have been raised and completed, and how many are outstanding past their due date.
- 3.5 Governance of the progress against RSM audits is through the quarterly Business Assurance Meeting and the monthly Force Executive Board.

#### **4. Recent Audit Reports**

- 4.1. Two non-financial audit reports were finalised during the period since the last Joint Audit Committee on 29 April 2021.
- 4.2. The Estates – Project management of the new Southern Police Station was completed and the final audit report issued on 7 July. There are 3 medium and 2 low priority actions for consideration, with an audit opinion of reasonable assurance.
- 4.3. The Risk Management audit was completed and the final audit report issued on 3 August. This is a joint audit report for the Constabulary and the Office of the Police and Crime Commissioner (OPCC). There are 1 medium and 2 low priority actions for the Constabulary, with an audit opinion of reasonable assurance, and 3 low priority actions for the OPCC, with an audit opinion of substantial assurance.
- 4.4. There are no outstanding high, medium or low priority actions outside their due date, which are not currently either part of a planned audit or internal review.

#### **5. Current Status**

- 5.1. A full review of all outstanding internal non-financial audit actions was completed by OIC in June and presented to the quarterly Business Assurance Meeting (BAM) on 24 June 2021.
- 5.2. Recent actions and new actions arising out of recent audits have been placed onto AMS and a copy of the Excel status report is attached at Appendix 1.

#### **BIBLIOGRAPHY**

<b>Source Documents</b>	
<b>Contact Officer</b>	Supt Adam Gallop, Head of Corporate Development, Cambridgeshire Constabulary