

Joint Audit Committee

28th January 2021

Responsible Officer:	Impact on Business:		Risk Register Reference & RAG
Chief Constable Nick Dean	High		Please refer to Report Summary
	Medium	X	
	Low		
Lead Manager:	Report Type:		
See Report Summary	Governance		
	Strategic		
	Operational	X	
Previous papers to Committee:			
Previous 6-monthly Constabulary Operational Risk Reports			

Report summary	<p>Purpose: To update members on the current risks and issues being carried by the Constabulary (other than those jointly owned with the OPCC which are reported separately).</p> <p>PDF Report Format and Content: The PDFs are chronological by date originally raised; one PDF for risks and one for issues. A current summary for each entry is provided in the 'Cause & Effect' column.</p> <p>High (Red) Risks Summary:</p> <p>372 Risk that the Medium Term Financial Plan cannot be balanced hindering ability to respond to and fund future local, collaborative and national operational requirements and initiatives</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: Chief Finance Officer & Director of Resources Jon Lee • Summary: The one-year funding settlement in mid-December, has enabled the Medium-Term Financial Plan (MTFP) to be drafted for consideration at Business Co-ordination Board (BCB). Years 1 and 2 are now balanced (subject to BCB discussions and Police and Crime Panel (PCP) approving the precept level proposed), but with savings still to be found in 2023/24 and 2024/25. BCB and PCP meetings are in late Jan / early Feb following which, the risk will be reassessed.
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	<p>421 Risk of BCH non-compliance with data protection regulations due to inability to delete records from legacy systems or data warehouse resulting in fines from ICO and loss of public confidence</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: Head of BCH Management of Police Info Kay Weeks • Summary: ICT commenced bulk deletions during December, using the temporary code written, pending procurement and deployment of a permanent deletion 'RRD' tool. The associated custody records will not be deleted along with the main record as this will require a further script to be written and but ICT expect to provide this in the near future. Around 10,000 deletions were completed during a few days in December with about 100,000 remaining. The process was paused over Christmas but once ICT confirm that the bulk deletions have been completed and the monthly process is in place, the severity will be reduced. <p>High (Red) Issues Summary:</p> <p>410 Additional pension liabilities arising from McCloud Sargeant decision</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: Director of Finance & Resources Jon Lee • Summary: Initial tranche of pecuniary claims (70 in total) received and subject to review through test case. Non-pecuniary claims awaited. Government have not advised on long-term remedy, following consultation, although it is currently due to come into effect in April 2022. <p>423 Issue that the final HR operating model supporting the systems is not fit for purpose</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: Director of BCH HR Rachel Wilkinson • Summary: Work on HR reporting and iQuery content is being delayed, as the teams work to meet the development demands that the CARM system requires from them. The lack of a fully effective integration tool (or any integration tool) may impact on HR savings requiring further System Administration to manually support the integrating activity.
Related Police and Crime Plan objective	See Report Summary
Action (tick one box only)	Information <input type="checkbox"/> Assurance <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Decision <input type="checkbox"/>
Recommendation	The Committee is asked to comment and/or raise questions following review of the contents of this summary report and (as necessary for greater and additional detail), the PDF reports.