

Financial Year	Audit ID	Audit Report Title	Draft/Final	Date Issued	Opinion	Chief Officer	Owner	Total Actions			Completed Actions			Outstanding Actions			Actions Outside of Due Date		
								High	Med	Low	High	Med	Low	High	Med	Low	High	Med	Low
2020/2021	BCH 4.20/21	Collaboration Occupational Health & Wellbeing	Final	22 December 2020	No audit opinion Advisory assessment only	DCC Jane Gyford	Rachel Wilkinson	0	1	0	0	0	0	0	1	0	0	0	0
	BCH 3.20/21	Collaboration Health and Safety including Key Controls	Final	20 November 2020	Substantial Assurance	ACC Vicki Evans	Rachel Wilkinson	0	0	4	0	0	1	0	0	3	0	0	0
2019/20	8.19/20	Follow up	Final	08 July 2020		DCC Jane Gyford	Jon Lee												
	7.19/20	Code of Practice for Victims of Crime	Final	20 April 2020	Reasonable Assurance	ACC Vicki Evans	Jon Lee/ Dorothy Gregson	0	2	0	0	0	0	2	0	0	2	0	
	6.19/20	Risk Management	Final	18 February 2020	Substantial Assurance	DCC Jane Gyford	Supt Adam Gallop	0	0	0	0	0	0	0	0	0	0	0	
	5.19/20	Constabulary Governance - Structure and Process	Final	20 February 2020	Reasonable Assurance	DCC Jane Gyford	Supt Adam Gallop	0	2	1	0	1	1	0	1	0	0	1	0
	BCH 2.19/20	Collaborated Procurement	Final	21 April 2020	Partial Assurance	DCC Jane Gyford	Jon Lee	1	6	1	1	0	0	0	6	1	0	6	1
	BCH 1.19/20	Health and Safety	Final	29 October 2019	No Assurance	ACC Vicki Evans	Rachel Wilkinson	1	6	5	1	6	5	0	0	0	0	0	0
2018/19	12.18/19	Follow up	Final	16 July 2019		DCC Jane Gyford	Supt Adam Gallop												
	11.18/19	Business Planning & Force Management Statement	Final	02 April 2019	Reasonable Assurance	DCC Jane Gyford	Supt Adam Gallop	0	2	0	0	2	0	0	0	0	0	0	
	10.18/19	Risk Management (Joint OPCC and Force)	Final	09 April 2019	Reasonable Assurance	DCC Jane Gyford / Dorothy Gregson	Jon Lee / Dorothy Gregson	0	1	2	0	1	2	0	0	0	0	0	
	BCH 9.18/19	Collaborated Uniform & Equipment Stores	Final	10 April 2019	Reasonable Assurance	DCC Jane Gyford	Jon Lee	0	2	1	0	1	1	0	1	0	0	0	
	BCH 7.18/19	ICT Infrastructure - Revised Final	Final	09 April 2019	Reasonable Assurance	DCC Jane Gyford	Jonathan Black	0	4	1	0	4	1	0	0	0	0	0	
	BCH 5.18/19	Information Management (GDPR only)	Final	21 March 2019	No audit opinion Advisory assessment only	DCC Jane Gyford	Andy Gilks	0	11	0	0	11	0	0	0	0	0	0	
	7.18/19	Governance - Delivery of Major Projects (LPR)	Final	12 March 2019	Partial Assurance	DCC Jane Gyford	Supt Adam Gallop	0	9	0	0	9	0	0	0	0	0	0	
	BCH 3.18/19	Custody including Health & safety Incident reporting	Final	26 October 2018	Reasonable Assurance	DCC Jane Gyford	James Hurley	0	1	2	0	1	2	0	0	0	0	0	
	BCH 2.18/19	Human Resources - Readiness for implementation of new system	Final	19 November 2018	Reasonable Assurance	DCC Jane Gyford	James Hurley	0	5	0	0	5	0	0	0	0	0	0	
	3.18/19	Seized Property and Controlled Drugs	Final	30 October 2018	Reasonable Assurance	ACC Vicki Evans	Bev Davis	0	1	1	0	1	1	0	0	0	0	0	
	2.18/19	Estates Management	Final	05 September 2018	Reasonable Assurance	DCC Jane Gyford	Jon Lee	0	4	1	0	4	1	0	0	0	0	0	
	1.18/19	Communications Strategy	Final	18 September 2018	Reasonable Assurance	DCC Jane Gyford	Sarah Cooper	0	1	2	0	1	2	0	0	0	0	0	
2017/18	10.17/18	Follow up	Draft	21 May 2018		DCC Jane Gyford	Supt Adam Gallop												
	8.17/18	Risk Management	Final	04 May 2018	Substantial assurance	DCC Jane Gyford	Supt Adam Gallop	0	0	2	0	0	2	0	0	0	0	0	
	7.17/18	Collaboration - HR Recruitment and Succession Planning	Final	16 May 2018	Reasonable assurance	DCC Jane Gyford	Rachel Wilkinson	0	2	3	0	1	1	0	1	2	0	0	
	6.17/18	Professional Standards Dept: Governance and Improvement	Final	05 April 2018	Reasonable assurance	DCC Jane Gyford	DSupt Amanda Bell	0	2	3	0	2	3	0	0	0	0	0	
	2.17/18	Equality and Diversity	Final	24 August 2017	Reasonable assurance	DCC Jane Gyford	Cl Chris Savage	0	4	4	0	4	4	0	0	0	0	0	
	1.17/18	Seized Property and Controlled Drugs	Final	11 December 2017	No assurance (superceded by 3.18/19)	ACC Dan Vajzovic	Supt Laura Hunt	1	9	0	1	9	0	0	0	0	0	0	
2016/17	9.16/17	Covert Human Intelligence Sources	Final	11 May 2017	Reasonable assurance	ACC Dan Vajzovic	D/Supt Ian Middleton	0	4	0	0	4	0	0	0	0	0	0	
	8.16/17	Collaboration - Human Resources Post Impact Assessment	Final	17 March 2017	Reasonable assurance	DCC Alan Baldwin	Rachel Wilkinson	0	2	0	0	2	0	0	0	0	0	0	
	7.16/17	ICT Strategy	Final	20 March 2017	Reasonable assurance	DCC Alan Baldwin	Jonathon Black	0	2	0	0	2	0	0	0	0	0	0	
	6.16/17	Risk Management	Final	02 March 2017	Substantial assurance	DCC Alan Baldwin	Natalie Benton	0	2	0	0	2	0	0	0	0	0	0	
	5.16/17	Victim Satisfaction and Victim Witness Care	Final	06 December 2016	Substantial assurance	ACC Dan Vajzovic	Steve Welby	0	0	2	0	0	2	0	0	0	0	0	
	2.16/17	Information Management	Final	21 November 2016	Reasonable assurance	ACC Nav Malik	Andy Gilkes	0	5	3	0	5	3	0	0	0	0	0	
	1.16/17	Collaboration - Health and Safety *	Final	26 August 2016	Partial assurance	DCC Alan Baldwin	Rachel Wilkinson	0	5	0	0	5	0	0	0	0	0	0	
2015/16	1.15/16	Estates Management	Final	15 September 2015	Reasonable assurance	Niki Howard	Colin Luscombe	0	5	0	0	5	0	0	0	0	0	0	
The grey shaded audits are completed & signed off by RSM auditors * BCH Health and Safety (1.16/17) now superceded by 1.19/20							Totals	3	100	38	3	88	32	0	12	6	0	9	1
								141	123	18	10								

