

Joint Audit Committee

30th January 2019

Responsible Officer:	Impact on Business:		Risk Register Reference & RAG
Chief Constable Nick Dean	High		Please refer to Report Summary
	Medium	X	
	Low		
Lead Manager:	Report Type:		
See Report Summary	Governance		
	Strategic		
	Operational	X	
Previous papers to Committee:			
Previous 6-monthly Constabulary Operational Risk Reports			

Report summary	<p>Purpose: To update members on the current risks and issues being carried by the Constabulary (other than those jointly owned with the OPCC which are reported separately).</p> <p>PDF Report Format and Content: The PDF is chronological by date originally raised, and includes both risks and issues. A current summary for each is provided in the 'Cause & Effect' column.</p> <p>High (Red) Risks Summary: 372 Risk that Constabulary will be unable to fund national initiatives due in 2019</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: Director of Finance & Resources, Niki Howard • Summary: This is closely connected to risks related to ICT resources (373 below) and to Business resources (397 below). 7-Force Collaboration has completed a review to identify what can be developed nationally, regionally and what will need to be completed locally. Funding for national initiatives in 2019-20 is covered but there is still pressure for future years. Accordingly, severity will be reduced from High (21) to Medium-High (18) following Risk Review Board on 18th January.
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373 Risk that BCH ICT will be unable to resource national initiatives due in 2019

- Corporate Objective 2. Maintain local police performance within available budgets
- Owner / Lead: Head of BCH ICT, Jonathan Black
- Summary: Work continues to understand the resourcing schedule and to plan accordingly.

395 Risk that if PEQF is introduced as envisaged, the cost and abstraction will adversely affect the quality of service

- Corporate Objective 2. Maintain local police performance within available budgets
- Owner / Lead: ACC Dan Vajzovic
- Summary: Work continues to understand and influence the cost and resourcing implications and to plan accordingly. ACC Vajzovic sits on the BCH PEQF Group chaired by DCC Forsyth (Beds).

397 Risk of insufficient capacity within the Constabulary to effectively introduce a multitude of initiatives over the next few years

- Corporate Objective 2. Maintain local police performance within available budgets
- Owner / Lead: DCC Alan Baldwin / Director of Finance & Resources, Niki Howard
- Summary: Work continues to understand the resourcing schedule and to plan accordingly.

401 Risk that if BCH fails to deliver robust Health and Safety Management, it may result in harm, loss and/or liability to prosecution and/or civil action.

- Corporate Objective 2. Maintain local police performance within available budgets
- Owner / Lead: Strategic Lead – Health & Wellbeing Mark Cook
- Summary: This is a BCH Organisational Support Risk recorded as Cambs is the lead force. The situation in Cambs is considered to be better than across Beds and Herts. This new risk will be reviewed further over the next month.

High (Red) Issues Summary:

328 Issue that Constabulary does not match best practice in investigating crime and associated matters

- Corporate Objective 1. Keeping people safe (tackling DV and serious sexual offences in Partnerships)
- Owner / Lead: ACC Dan Vajzovic
- Summary: Although much work has been done, including LPR and Op Sherlock, the issue remains at stated severity until the next HMICFRS inspection (due shortly), as this area 'required improvement'. Chief Constable tasked actions at recent Away day.

	<p>359 Issue that preparation to ensure compliance with Data Protection Reform is not completed</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: Head of Information Management, Andy Gilks • Summary: The Information Management Audit was not completed before GDPR took effect on 25th May 2018. Further progress has been made but work to complete continues. No major issues reported to date. RSM are completing an audit in late January. <p>367 Those suspects who are Released Under Investigation (RUI), without bail, require robust management</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: ACC Dan Vajzovic • Summary: There is a manual workaround allowing PNC section to identify cases that need to be updated on PNC but data is not perfect. Training for inexperienced supervisors has been undertaken. Det Ch. Supt Chris Mead has provided a review paper to Judge Farrell at Peterborough. <p>399 Issue of loss of senior staff in Information Assurance Unit</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: Head of Information Management, Andy Gilks • Summary: The situation has deteriorated over the last three months – four of five staff have resigned or retired. Efforts are underway to recruit to fill the posts temporarily or permanently. Work is being prioritised and as a temporary measure, suitable work is being redirected to wider Information Management Department and ICT. <p>400 Loss of ICCS service in FCR preventing radio communication via Airwave</p> <ul style="list-style-type: none"> • Corporate Objective 2. Maintain local police performance within available budgets • Owner / Lead: Head of BCH ICT Jonathan Black • Situation has improved in Cambs but there are still issues in Beds. Severity being reviewed.
Related Police and Crime Plan objective	See Report Summary
Action (tick one box only)	Information <input type="checkbox"/> Assurance <input checked="" type="checkbox"/> Approval <input type="checkbox"/> Decision <input type="checkbox"/>
Recommendation	The Committee is asked to comment and/or raise questions following review of the contents of this summary report and (as necessary for greater and additional detail), the PDF report.