



To: Joint Audit Committee
From: Chief Finance Officer, OPCC
Date: 12 April 2018

Strategic Risk Management

1. Purpose

- 1.1 The purpose of this paper is to provide an update on Strategic Risk Management to the Joint Audit Committee (“the Committee”).

Update

- 2.1 The strategic risk register held between Cambridgeshire Constabulary (“the Constabulary”) and the Police and Crime Commissioner (“the Commissioner”) is attached at Appendix 1. Risk management is embedded into both the work of the Constabulary and that of the Office of the Police and Crime Commissioner (“the OPCC”) on an ongoing and continuous basis.
- 2.2 The OPCC reviews the risks on a quarterly basis and provides updates to the JAC on a six monthly basis.
- 2.3 This format includes a brief summary of each risk on the front page, page 2 shows the risks are on a matrix, with any new risks raised highlighted in red text.
- 2.4 Changes to the content of the register are shown in red text to stand out.
- 2.5 *SR1.1 there is a potential risk that the Commissioner fails to achieve the benefits of the local link between the police and communities. The Chief Constable fails to explain to the public the actions of the Constabulary on page 3 has added two causes. The Senior Lead has changed to the Chief Executive following a restructure of the OPCC management. The Controls in place and Controls Assurance have been changed to reflect the staff changes. An additional future action for promoting the new Force website to improve public contact and transparency is included.*
- 2.6 *SR2.1 there is a theoretical risk that the Commissioner fails to ensure the Police and Crime Plan sets objectives which provide a clear focus on page 5 has an additional Cause and Effect. Controls in place specifies meetings. Controls assurance has an additional assurance of internal audit of delivery plan. Future actions have been*

completed and will be deleted and an additional future action is to create a Board Assurance Framework.

- 2.7 SR2.2 *there is a theoretical risk that the Chief Constable fails to meet the operational expectation of the Home Office with respect to the Strategic Policing Requirement*, on page 6 has an additional controls assurance included of Regional engagement with specialist Capabilities Delivery Board.
- 2.8 SR2.3 *the risk the Commissioner and Chief Constable are unable to influence national, regional or strategic alliance policies* on page 7 has three additional controls assurance added.
- 2.9 SR3.1 *there is a risk that the Commissioner and Chief Constable fail to manage the finances effectively* on page 9. A minor amendment has been made noting the change from a Bill to an Act. Future Actions has been updated with deletions of completed actions and changes to planned dates.
- 2.10 SR3.2 *theoretical risk that the Commissioner and Chief Constable fail to enter into or achieve the benefits of collaboration* on page 11 dates for Future actions have been amended.
- 2.11 SR3.3 *there is a theoretical risk that the Commissioner and Chief Constable fail to work effectively in partnership with local leaders in community safety and criminal justice to deliver Police and Crime Plan* on page 13 an additional Control has been added noting the processes in place for monitoring progress of the Police and Crime Plan. Future actions have been deleted as completed and others dates for completion have been amended.
- 2.12 SR4.1 *there is a theoretical risk that the Commissioner and Chief Constable fail to apply and demonstrate good governance* on page 16 has amended the Controls Assurance in relation to Integrity controls. An additional Future action has been included as a link for internal audit visibility to BCB.
- 2.13 SR4.2 *there is a theoretical risk that the Chief Constable fails to deploy appropriately staff under his direction and control to deliver the policing objectives in the Police and Crime Plan*, on page 17 has two additional Controls, one additional controls assurance and a Future action deleted now it is completed.
- 2.14 SR4.3 *there is a theoretical risk that the Commissioner fails to meet the requirements of the Police and Crime Panel* on page 19 has some minor amendments to wording.
- 2.15 SR4.4 *there is a theoretical risk that the Chief Constable fails to safeguard the welfare, health and safety and equality and diversity of officers and staff* on page 20 has additional wording to one of the controls assurance and amendments to the Future actions dates.
- 2.16 SR4.5 *there is a theoretical risk that the Commissioner fails to establish effective mechanisms for holding the Chief Constable to account for the exercise of their duties to safeguard the welfare of all officers and staff* on page 22 has amended wording in one of the Controls in place, and two additional controls. There are three new controls assurance and new future actions.

3. Recommendations

3.1 The Committee is asked to note the contents of the report.

BIBLIOGRAPHY

Source Documents	
Contact Officers	Dorothy Gregson, Chief Executive, Office of the Police and Crime Commission