



To: Joint Audit Committee
From: Chief Inspector Paul Ormerod
Date: 23 March 2016

Audit Recommendations – Non Financial Business Areas – Progress Report

1. Recommendations

1.1. The Committee is asked to note the contents of the report.

2. Purpose

2.1. This report provides an update on progress against outstanding non-financial high and medium audit recommendations from previous audits of the Constabulary.

3. Background

3.1. Monitoring of the recommendations from internal and external audit reports is undertaken by the Corporate Development Directorate (CDD). The updated audit action plan is attached to this report (Appendix A) and this sets out the progress made against recommendations and new actions from recent audits.

3.2. Recommendations are prioritised into high, medium or low importance by the auditor. To indicate the extent that actions are on target for delivery, each recommendation is further colour coded (Red/Amber/Green) according to the following definitions:

- Red – recommendation posing significant risk to the Constabulary
- Red – Complete – recommendation disagreed, no intention to progress the recommendation due to it being unrealistic, impractical, too costly or out of date,
- Amber – progress with implementation slipped/over budget/over timescale. After 6 months at Amber with no discernible progress the recommendation is declared Red.
- Green – on going – action in progress in line with anticipated timescale.
- Green – complete – actions fully completed and implemented.

4. Current Status

- 4.1. All outstanding recommendations were subject to a follow up audit which took place in the week commencing 7 March 2016. The current status report and updated action plan is based on the debrief document provided by the auditors on 14 March 2016 outlining the findings of this audit.
- 4.2. At the commencement of the follow up audit on 7 March 2016, the auditors considered 18 high and medium risk recommendations to require review (2 high and 16 medium).
- 4.3. As a result of the audit, it was deemed that 11 of these recommendations has been fully implemented, and that 7 still required full implementation (3 high and 3 medium).
- 4.4. Of the outstanding recommendations 5 have revised actions which require an amended response, 1 has an action partly restated, and 1 has a restated action (relating to a new action from the Estates Management (1.15/16) audit, which had not yet been reported to the Committee).


5. Recent Audit Reports

- 5.1. The Delivery of the Police and Crime Plan Audit Report (4.15/16) was received and finalised in February 2016. An Amber/Green assurance level was given, with 1 medium and 6 low level recommendations made. The OPCC Head of Strategic Partnerships and Commissioning is the Responsible Owner of the medium level recommendation within the report.
- 5.2. The Risk Management Audit Report (05.15/16) was received and finalised in March 2016. A Green assurance level was given, with 1 medium recommendation made and three low priority management actions agreed. The medium recommendation has been added to the updated action plan (Appendix A).
- 5.3. An audit of Fleet Management was conducted in the week commencing 15 February 2016. The draft report of this audit will be circulated in due course and management responses collated.
- 5.4. A Follow Up audit of outstanding audit recommendations was conducted in the week commencing 7 March 2016. The draft report of this audit will be circulated in due course and management responses collated.

6. Recommendations

- 6.1. The Committee is asked to note the contents of the report.

7. **Appendices**

Appendix A – Audit Report Action Plan	 16 03 23 - JAC A... ..
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