



To: Joint Audit Committee
From: Chief Inspector Paul Ormerod
Date: 10 December 2015

Audit Recommendations – Non Financial Business Areas – Progress Report

1. Recommendations

1.1. The Committee is asked to note the contents of the report.

2. Purpose

2.1. This report provides an update on progress against outstanding non-financial high and medium audit recommendations. These are detailed in the Follow Up of Previous Internal Audit Recommendations (9.14/15), and also contained within the audit reports on Risk Management (8.14/15) and Seized and Lost Property Follow Up (11.14/15). The report also summarises the results of the most recent completed audits.

3. Background

3.1. Monitoring of the recommendations from internal and external audit reports is undertaken by the Corporate Development Department (CDD). The updated audit action plan is attached to this report (Appendix A) and this sets out the progress made against the recommendations.

3.2. Recommendations are prioritised into high, medium or low importance by the auditor. To indicate the extent that actions are on target for delivery, each recommendation is further colour coded (Red/Amber/Green) according to the following definitions:

- Red – recommendation posing significant risk to the Constabulary
- Red – Complete – recommendation disagreed, no intention to progress the recommendation due to it being unrealistic, impractical, too costly or out of date,
- Amber – progress with implementation slipped/over budget/over timescale. After 6 months at Amber with no discernible progress the recommendation is declared Red.

- Green – on going – action in progress in line with anticipated timescale.
- Green – complete – actions fully completed and implemented.

4. **Current Status**

- 4.1. Across the three audit areas, a total of fourteen recommendations remained outstanding.
- 4.2. Of these fourteen, twelve have subsequently been completed with four in progress.
- 4.3. Of the remaining four, two recommendations relate to a collaborated unit, and further liaison will take place with the unit to establish whether the recommendations can be progressed, or should be closed due to capacity issues.
- 4.4. The remaining two recommendations continue to be implemented, or have not yet reached the scheduled implementation date. Further updates will be sought from the Responsible Officer for future JAC monitoring.
- 4.5. No recommendations carrying a high assurance level remain outstanding.


5. **Recent Audit Reports**

- 5.1. The Monitoring and Reporting of Equality and Diversity Audit Report was received and finalised in April 2015. A Green assurance level was given, with three low level importance made.
- 5.2. The Communications Strategy Audit report was received and finalised in September 2015. An Amber/Green assurance level was given with no recommendations made.
- 5.3. The Complaint Handling report was received and finalised in September 2015. Suggested areas for improvement were identified during the review, but no recommendations. These were not categorised due to the advisory nature of the review, and no assurance level set.
- 5.4. An audit into Delivery of the Police and Crime Plan has been undertaken and a draft report circulated to the Constabulary and the Office of the Police and Crime Commissioner. Management responses are currently being collated

6. **Recommendations**

- 6.1. The Committee is asked to note the contents of the report.

7. **Appendices**

Appendix A – Audit Report Action Plan	 15 12 10 - JAC A... ..
---------------------------------------	--