



To: Joint Audit Committee

From: Chief Constable

Date: 11th December 2014

AUDIT RECOMMENDATIONS PROGRESS REPORT - (NON FINANCIAL BUSINESS AREAS)

1. Purpose

1.1 This report provides an update on progress against all outstanding audit recommendations. The plan now only contains outstanding recommendations on Procurement, Information Governance and PSD Collaboration.

2. Background

2.1 Monitoring of the recommendations from internal and external audit reports is undertaken by the Corporate Development Department (CDD). The updated audit action plan is attached to this report (Appendix A) and this sets out the progress made against the recommendations.

2.2 Recommendations are prioritised into high, medium or low importance by the auditor with only high or medium recommendations coming forward on the progress report for Audit Committee Scrutiny. To indicate the extent that actions are on target for delivery, each recommendation is further colour coded (Red/Amber/Green) according to the following definitions:

- Red – recommendation posing significant risk to the Constabulary
- Red – Complete – recommendation disagreed, no intention to progress the recommendation due to it being unrealistic, impractical, too costly or out of date,
- Amber – progress with implementation slipped/over budget/over timescale. After 6 months at Amber with no discernable progress the recommendation is declared Red,
- Green – on going – action in progress in line with anticipated timescale.
- Green – complete – actions fully completed and implemented.

3. Current Status

- 3.1 Across the audit areas, a total of 6 recommendations remained outstanding.
- 3.2 Of these, 4 have subsequently been completed with eight remaining in progress.
- 3.3 A status breakdown of the 2 ongoing recommendations shows that 1 is currently green and at the implementation stage and 1 is currently amber with progress being made through collaboration.


4. Recent Audit Updates

- 4.1 The Commissioning and Partnerships Audit has concluded giving a green assurance level with 2 low recommendations.

5. Recommendation

- 5.1 That the Committee notes the progress as reported.

6. Appendices

Appendix A – Audit Action Plan	 Internal Audit Recomendations Dec
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