



To: Joint Audit Committee

From: Chief Constable

Date: 10 December 2013

CONSTABULARY OPERATIONAL RISK MANAGEMENT

1. Purpose

1.1 The purpose of this report is to provide the Committee with an update on constabulary operational risk management.

2. Management of Risk within the Constabulary

2.1 The Force Risk Register is owned and monitored by the Force Executive Board. All the current risks in the Force Risk Register will be brought to this Joint Audit Committee after its consideration at the BCB. Risk management in the Constabulary is undertaken in accordance with the Force Risk & Opportunity Policy Procedure & Guide (Attached for information).

2.2 Risks may be identified by anyone but primarily, by the Chief Constable Management Team, Force Executive Board, Strategic User Group, senior management teams, departmental risk co-ordinators, the Force Risk Manager and the Force Risk Officer. Risks are documented and updated on the 4Risk database primarily by departmental risk co-ordinators and the Force Risk Officer based on collated knowledge and instruction. 4Risk access is managed by the Force Risk Officer providing appropriate read/write access for users.

2.3 Risks are managed through the use of controls, assurances, actions, diary system and identification of the most appropriate risk owners and action owners. Owners review and action as necessary and 4Risk automatically sends reminders to action owners. In addition, departmental risk co-ordinators review their risks regularly and the Force Risk Officer reviews all risks at least monthly and seeks remedial action as appropriate. Risks are reviewed monthly by Force Executive Board on a rolling basis with emphasis on high severity risks, topical risks, recalled risks and new risks.

- 2.4 The operational risk register is currently being considered through the Business Coordination Board and will be brought to the next Joint Audit Committee meeting for review.

3. Recommendations

The Committee is asked to:

- a) Note the contents of the report and the attached Force Risk & Opportunity Policy Procedure & Guide.
- b) Review the Operational Risk Register at the following Joint Audit Committee.