



To: Joint Audit Committee

From: Chief Constable

Date: 10 December 2013

AUDIT RECOMMENDATIONS (NON FINANCIAL BUSINESS AREAS) – PROGRESS REPORT

1. Purpose

1.1 This report provides an update on progress against outstanding high and medium audit recommendations. These cover four audit areas of Business Continuity, Procurement, Information Governance and PSD Collaboration. The report also summarises the results of the most recent completed audits.

2. Background

2.1 Monitoring of the recommendations from internal and external audit reports is undertaken by the Corporate Development Department (CDD). The updated audit action plan is attached to this report (Appendix A) and this sets out the progress made against the recommendations.

2.2 Recommendations are prioritised into high, medium or low importance by the auditor. To indicate the extent that actions are on target for delivery, each recommendation is further colour coded (Red/Amber/Green) according to the following definitions:

- Red – recommendation posing significant risk to the Constabulary
- Red – Complete – recommendation disagreed, no intention to progress the recommendation due to it being unrealistic, impractical, too costly or out of date,
- Amber – progress with implementation slipped/over budget/over timescale. After 6 months at Amber with no discernable progress the recommendation is declared Red,
- Green – on going – action in progress in line with anticipated timescale.

- Green – complete – actions fully completed and implemented.

3. Current Status

- 3.1 Across the four audit areas, a total of eight recommendations remained outstanding.
- 3.2 Of these eight, two have subsequently been completed with six remaining in progress.
- 3.3 Of the remaining six, one recommendation has been deferred pending publication of new national guidance from the College of Policing. A further two have been extended due to the impact of the decision on collaboration. The remaining three continue to be implemented.
- 3.4 Only one recommendation carries a high assurance level and this relates to the development of a procurement manual. This has been extended to April 2014 as a consequence of the decision to move from the two force collaboration back to the original three force position. This manual is in the process of development, with a draft to be ready for review in January 2014.

4. Recent Audit Updates

- 4.1 The Covert Human Intelligence Source Payments Audit report was received and finalised in November 2013. A green assurance level was given with no recommendations made.
- 4.2 The Governance Framework audit was also received and finalised in November 2013. A green assurance level was given with no recommendations made.
- 4.3 An audit into Collaborative Governance has been undertaken and a draft report circulated to the three forces and PCCs.

5. Recommendation

- 5.1 The Committee is recommended to
- a) note the progress as reported, and
 - b) comment on the arrangements for monitoring progress.

BIBLIOGRAPHY

Source Document (s)	Contact Officer	Location
Internal Audit reports as listed above	Mike Hills Corporate Development Dept 01480 422421	Police Headquarters Hinchingsbrooke Park Huntingdon Cambridgeshire PE29 6NP