

KYC FUNDAMENTALS

LYSIS ACADEMY 1-DAY
KYC TRAINING COURSE

IN ASSOCIATION WITH



Cass Business School
CITY UNIVERSITY LONDON

OVERVIEW

The aims of this course are:

1. To provide those banking staff members (other than KYC analysts) whose roles form part of the COB function or who regularly interact with KYC teams with a better understanding of the fundamental concepts of KYC (Know Your Client) and AML (Anti-Money Laundering) placed within the context of the regulatory framework which essentially drives the KYC requirements.
2. To provide experienced banking or legal personnel who are considering a move into Compliance with an overview of the key theoretical, regulatory and practical fundamentals of carrying out KYC. This can be followed up with our three-day or five-day courses to create a full “conversion programme” for those seeking a career in KYC.

The trainers presenting this course have in excess of 10 years’ KYC and AML experience within the investment banking arena and are subject matter experts and practitioners in the field of KYC, AML and Compliance.

WHO IS THE PROGRAMME FOR?

This course is aimed primarily at line managers or senior management working in operations in the investment banking Client On-boarding space who wish to increase their knowledge of KYC and AML and understand the regulatory framework from which their operational processes are derived in order to better manage their teams and streamline their processes. It will also constitute a pivotal starting point for banking and legal professionals wishing to shift their career focus into the KYC space.

Target audience:

- Investment banking operations staff wishing to increase their understanding of KYC and issues relating to AML
- KYC line managers and senior managers working in Client On-Boarding
- Investment Banking Front of House managers or members of the Client Liaison team who wish to better understand KYC and AML in order to make their interaction with the KYC team more effective
- IT change managers and business analysts who need to understand the KYC requirements in order to provide effective changes to the bank’s systems
- Experienced banking or legal staff considering a career change into KYC and AML (Anti-Money Laundering)
- Professionally-qualified or degree-qualified legal staff considering a career move into KYC and AML (Anti-Money Laundering).

BENEFITS OF THE COURSE

- Understanding of the regulatory framework and therefore why KYC and AML have become a focus for Investment Banking operations staff and management
- Broad understanding of some fundamental KYC concepts and definitions
- Understanding of the risks unique to AML and the importance of risk assessment
- Empowers managers to make more effective and knowledgeable operational decisions
- Gives IT business analysts a better understanding of the subject matter in order that they may more effectively update systems to complement KYC requirements
- Facilitates better communication between 'The Business' and the KYC team
- Assists 'The Business' in understanding their obligations as the First Line of Defence
- Provides senior management with an insight into how KYC and AML affects their business areas
- Provides a good yard stick for legal and banking professionals to assess whether they would like a career move into KYC



Expert Governance, Risk
& Compliance Consulting



AML Processing & People



AML, Governance, Risk
& Compliance Training