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Company Name
INTERACTIVE BROKERS (U.K.) LIMITED

363s Annual Return

Company Type
Private Company Limited By Shares

Company Number
3958476

Information extracted from
 Companies House records on
22nd March 2007

- > Please check the details printed in the "Current details" column.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please complete in **black** ink and use ca

Section 1: Company details



SATURDAY

Ref: 3958476/03/10

	Current details	Amended details																
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	One Carey Lane Fifth Floor London EC2V 8AE	Address _____ _____ _____ UK Postcode L L L L L L L L																
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Address where the Register is held At Registered Office	Address _____ _____ _____ UK Postcode L L L L L L L L																
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	Not Applicable	Address _____ _____ _____ UK Postcode L L L L L L L L																
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="0"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>6712</td> <td>Security broking & fund management</td> </tr> </tbody> </table>	SIC Code	Description	6712	Security broking & fund management	<table border="0"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </tbody> </table>	SIC CODE	Description	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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> <i>Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</i>																		

Section 2: Details of Officers of the Company

	Current details	Amended details
<p>> Company Secretary If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Company Secretary must be notified on form 288a.</p>	<p>Name Paul J BRODY</p> <p>Address 305 Weed Street New Canaan Ct 06840 Usa</p>	<p>Name _____</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p>Address 64 KELLEY GREEN, NEW CANAAN, CT 06840 USA</p> <p>UK Postcode L L L L L L L Date of change L L / L L / L L L L Date Paul J BRODY ceased to be secretary (if applicable) L L / L L / L L L L</p>
<p>> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Director must be notified on form 288a.</p>	<p>Name Yograj AGGARWAL</p> <p>Address 48 Kelmescott Road Birmingham West Midlands B17 8QN</p> <p>Date of birth 23/02/1957</p> <p>Nationality British</p> <p>Occupation Compliance Director</p>	<p>Name _____</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p>Address 23 NEWBERRIES AVENUE, RADLETT HERTS WD7 7EJ</p> <p>UK Postcode L L L L L L L Date of birth L L / L L / L L L L Nationality _____ Occupation _____</p> <p>Date of change L L / L L / L L L L Date Yograj AGGARWAL ceased to be director (if applicable) L L / L L / L L L L</p>

	Current details	Amended details
<p>> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Director must be notified on form 288a.</p>	<p>Name Jonathan CHAIT</p> <p>Address Gotthardstrasse 3 6300 Zug Switzerland Usa</p> <p>Date of birth 15/03/1961</p> <p>Nationality Usa Citizen</p> <p>Occupation Director</p>	<p>Name</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p>Address GRAFENAUWEG 3 6300 ZUG SWITZERLAND</p> <p>UK Postcode L L L L L L L</p> <p>Date of birth L L / L L / L L L L</p> <p>Nationality _____</p> <p>Occupation _____</p> <p>Date of change L L / L L / L L L L</p> <p>Date Jonathan CHAIT ceased to be director (if applicable) L L / L L / L L L L</p>
<p>> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Director must be notified on form 288a.</p>	<p>Name Gerald PEREZ</p> <p>Address Vestry Court 5 Monck Street London SW1P 2BW</p> <p>Date of birth 13/10/1963</p> <p>Nationality Us Citizen</p> <p>Occupation Managing Director</p>	<p>Name</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode L L L L L L L</p> <p>Date of birth L L / L L / L L L L</p> <p>Nationality _____</p> <p>Occupation _____</p> <p>Date of change L L / L L / L L L L</p> <p>Date Gerald PEREZ ceased to be director (if applicable) L L / L L / L L L L</p>

	Current details	Amended details
<p>> Director</p> <p><i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p>Name Thomas PETERFFY</p> <p>Address 4 Sound Shore Drive Greenwich Ct Usa 06830</p> <p>Date of birth 30/09/1944</p> <p>Nationality American</p> <p>Occupation Chairman</p>	<p>Name _____</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p>Address <u>25 CONYERS FARM DRIVE,</u> <u>GREENWICH</u> <u>CT 06830, USA</u></p> <p>UK Postcode L L L L L L L</p> <p>Date of birth L L / L L / L L L L</p> <p>Nationality _____</p> <p>Occupation _____</p> <p>Date of change L L / L L / L L L L</p> <p>Date Thomas PETERFFY ceased to be director (if applicable) L L / L L / L L L L</p>
<p><i>Particulars of a new Director must be notified on form 288a.</i></p>		

Section 3: Share Capital

	Current details	Amended details
<p>> Issued Share Capital This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</p>	<p>Class of share Ordinary A</p>	<p>Class of share _____</p>
	<p>Nominal value of each share £1.00</p>	<p>Nominal value of each share _____</p>
	<p>Number of shares issued 700</p>	<p>Number of shares issued _____</p>
	<p>Aggregate Nominal Value of issued shares £700.00</p>	<p>Aggregate Nominal Value of issued shares _____</p>
	<hr/>	
	<p>Class of share Ordinary B</p>	<p>Class of share _____</p>
	<p>Nominal value of each share £ 1.00</p>	<p>Nominal value of each share _____</p>
	<p>Number of shares issued 125,000</p>	<p>Number of shares issued _____</p>
	<p>Aggregate Nominal Value of issued shares £ 125,000.00</p>	<p>Aggregate Nominal Value of issued shares _____</p>
<hr/>		
<p>> Total shares issued and value If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</p>	<p>Total number of shares issued 125,700</p> <p>Total Nominal value of shares issued £ 125,700.00</p>	<p>Total number of shares issued _____</p> <p>Total Nominal value of shares issued € 125,000 £ 700</p>

> At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 0870 3333636.

Company Number - 3958476

Section 4: Details of Shareholders

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details		Amended details		Shares transferred		
> Shareholder Name INTERACTIVE BROCKERS GROUP LLC		Name <u>IBG LLC</u>		Shares transferred by INTERACTIVE BROCKERS GROUP LLC		
Address 2 Pickwick Plaza Greenwich Ct 06830 United States Of America		Address _____ _____ _____				
		UK Postcode L L L L L L				
Shares held Class Number		Shares held Class Number				
Ordinary B Ordinary A	125000 700	_____ _____	_____ _____			

Section 5: Details of Other Shareholders

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _			

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

1. Declaration

I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £30.

Signature Y. Aggall
(Director / Secretary)

Date 16, 04, 2007

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

2. Date of this return

This AR is made up to 28/3/2007

If you are making this return up to an earlier date, please give the date here

__ / __ / _____

Note: The form must be delivered to CH within 28 days of this date

3. Date of next return

If you wish to change your next return to a date earlier than **28th March 2008** please give the new date here:

__ / __ / _____

4. Where to send this form

Please return this form to:

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name _____ Telephone number *inc code*

Address _____ DX number *if applicable*

_____ DX exchange

Postcode _____