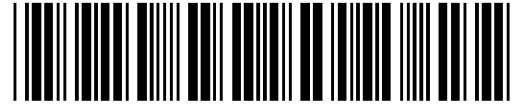




**Confirmation Statement**

Company Name: **INTERACTIVE BROKERS (U.K.) LIMITED**

Company Number: **03958476**



Received for filing in Electronic Format on the: **21/09/2016**

X5G0LYEG

Company Name: **INTERACTIVE BROKERS (U.K.) LIMITED**

Company Number: **03958476**

Confirmation **05/09/2016**

Statement date:

## Statement of Capital (Share Capital)

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<b>Class of Shares:</b>	<b>ORDINARY</b>	Number allotted	<b>125000</b>
	<b>B</b>	Aggregate nominal value:	<b>125000</b>
Currency:	<b>EUR</b>		

Prescribed particulars

**EACH SHARE IS ENTITLED TO ONE VOTE IN ANY CIRCUMSTANCES**

<b>Class of Shares:</b>	<b>ORDINARY</b>	Number allotted	<b>700</b>
	<b>A</b>	Aggregate nominal value:	<b>700</b>
Currency:	<b>GBP</b>		

Prescribed particulars

**EACH SHARE IS ENTITLED TO ONE VOTE IN ANY CIRCUMSTANCES**

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## Statement of Capital (Totals)

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Currency:	<b>EUR</b>	Total number of shares:	<b>125000</b>
		Total aggregate nominal value:	<b>125000</b>
		Total aggregate amount unpaid:	<b>0</b>

Currency:	<b>GBP</b>	Total number of shares:	<b>700</b>
		Total aggregate nominal value:	<b>700</b>
		Total aggregate amount unpaid:	<b>0</b>

## Full details of Shareholders

The details below relate to individuals/corporate bodies that were shareholders during the review period or that had ceased to be shareholders since the date of the previous confirmation statement.

A full list of shareholders for a non-traded company are shown below

Shareholding 1: **700 ORDINARY A shares held as at the date of this confirmation statement**

Name: **INTERACTIVE BROKERS GROUP LLC**

Shareholding 2: **125000 ORDINARY B shares held as at the date of this confirmation statement**

Name: **INTERACTIVE BROKERS GROUP LLC**

# Persons with Significant Control (PSC)

## PSC notifications

### Notification Details

Date that person became **06/04/2016**  
registrable:

Name: **MR GERALD PEREZ**

Service address recorded as Company's registered office

Country/State Usually **UNITED KINGDOM**  
Resident:

Date of Birth: **\*\*/10/1963**

Nationality: **US**

### Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the company.

## Notification Details

Date that person became **06/04/2016**  
registrable:

Name: **MR THOMAS PETERFFY**

Service address recorded as Company's registered office

Country/State Usually **USA**  
Resident:

Date of Birth: **\*\*/09/1944**

Nationality: **US**

## Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the company.

## Notification Details

Date that person became **06/04/2016**  
registrable:

Name: **MR YOGRAJ AGGARWAL**

Service address recorded as Company's registered office

Country/State Usually **ENGLAND**  
Resident:

Date of Birth: **\*\*/02/1957**

Nationality: **BRITISH**

## Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the company.

## Notification Details

Date that person became **06/04/2016**  
registrable:

Name: **MR JONATHAN CHAIT**

Service address recorded as Company's registered office

Country/State Usually **SWITZERLAND**  
Resident:

Date of Birth: **\*\*/03/1961**

Nationality: **US**

## Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the company.

## Notification Details

Date that person became **06/04/2016**  
registrable:

Name: **MR PAUL BRODY**

Service address recorded as Company's registered office

Country/State Usually **USA**  
Resident:

Date of Birth: **\*\*/03/1960**

Nationality: **US**

## Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the company.



## Notification Details

Date that person became **06/04/2016**  
registrable:

Name: **MR EARL NEMSER**

Service address recorded as Company's registered office

Country/State Usually **USA**  
Resident:

Date of Birth: **\*\*/01/1947**

Nationality: **US**

## Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the company.

## Confirmation Statement

I confirm that all information required to be delivered by the company to the registrar in relation to the confirmation period concerned either has been delivered or is being delivered at the same time as the confirmation statement

# Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager,  
Judicial Factor