

100058/30



Company Name  
**PROXIMAGEN NEUROSCIENCE  
PLC**

# 363s Annual Return

Company Type  
**Public Limited Company**

- > Please check the details printed in the "Current details" column.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please complete in **black** ink and

Company Number  
**5333020**

Information extracted from  
Companies House records on  
**9th January 2007**

## Section 1: Company details

FRIDAY



A44 09/02/2007 482  
COMPANIES HOUSE

Ref: 5333020/09/28

	Current details	Amended details
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> Registered Office Address  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**The Hodgkin Building, Guys  
Campus, Kings College London  
London  
SE1 1UL**

Address  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
UK Postcode \_\_\_\_\_

> Register of Members  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Address where the Register is held  
At Registered Office**

Address  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
UK Postcode \_\_\_\_\_

> Register of Debenture Holders  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Not Applicable**

Address  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
UK Postcode \_\_\_\_\_

> Principal Business Activities  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

SIC Code	Description
<b>7415</b>	<b>Holding companies incl head offices</b>

SIC CODE	Description
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

> *Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.*

**Section 2: Details of Officers of the Company**

	Current details	Amended details
<p><b>&gt; Company Secretary</b>  <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>          <p><i>Particulars of a new Company Secretary must be notified on form 288a.</i></p>	<p><b>Name</b>  <b>June PADDOCK</b></p>  <p><b>Address</b>  <b>17 Hanover Square</b>  <b>London</b>  <b>Greater London</b>  <b>W1S 1HU</b></p>	<p><b>Name</b></p> <hr/> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b></p> <hr/> <hr/> <hr/> <p>UK Postcode _ _ _ _ _</p> <p>Date of change _ _ / _ _ / _ _ _ _</p> <p>Date June PADDOCK          ceased to be secretary (if applicable)          _ _ / _ _ / _ _ _ _</p>

<p><b>&gt; Director</b>  <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>          <p><i>Particulars of a new Director must be notified on form 288a.</i></p>	<p><b>Name</b>  <b>Michael Richard Dwyer ASHTON</b></p>  <p>This is a service address for the beneficiary of a Confidentiality Order.</p> <p><b>Address</b>  <b>Hodgkin Building Guys Campus</b>  <b>Kings College</b>  <b>London</b>  <b>SE1 1UL</b></p>  <p><b>Date of birth</b> 21/02/1946</p> <p><b>Nationality</b> Australian</p> <p><b>Occupation</b> Ceo</p>	<p><b>Name</b></p> <hr/> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b></p> <hr/> <hr/> <hr/> <p>UK Postcode _ _ _ _ _</p> <p>Date of birth _ _ / _ _ / _ _ _ _</p> <p>Nationality _____</p> <p>Occupation _____</p> <p>Date of change _ _ / _ _ / _ _ _ _</p> <p>Date Michael Richard Dwyer ASHTON          ceased to be director (if applicable)          _ _ / _ _ / _ _ _ _</p>
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**Current details**

**Amended details**

> **Director**

*If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Name**  
**Dr David Bruce CAMPBELL**

This is a service address for the beneficiary of a Confidentiality Order.

**Address**  
**Hodgkin Building Guys Campus**  
**Kings College**  
**London**  
**SE1 1UL**

**Date of birth** 16/10/1944

**Nationality** British

**Occupation** Director

**Name**

Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

**Address**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

UK Postcode \_\_\_\_\_

Date of birth \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Nationality \_\_\_\_\_

Occupation \_\_\_\_\_

Date of change \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Date Dr David Bruce CAMPBELL ceased to be director (if applicable)

\_\_\_\_ / \_\_\_\_ / \_\_\_\_

*Particulars of a new Director must be notified on form 288a.*

> **Director**

*If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Name**  
**James Douglas HUNTER**

This is a service address for the beneficiary of a Confidentiality Order.

**Address**  
**Hodgkin Building Guys Campus**  
**Kings College**  
**London**  
**SE1 1UL**

**Date of birth** 01/01/1967

**Nationality** British

**Occupation** Finance Director

**Name**

Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

**Address**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

UK Postcode \_\_\_\_\_

Date of birth \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Nationality \_\_\_\_\_

Occupation \_\_\_\_\_

Date of change \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Date James Douglas HUNTER ceased to be director (if applicable)

\_\_\_\_ / \_\_\_\_ / \_\_\_\_

*Particulars of a new Director must be notified on form 288a.*

	Current details	Amended details
<p><b>&gt; Director</b>  <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p> <p><i>Particulars of a new Director must be notified on form 288a.</i></p>	<p><b>Name</b>  <b>Professor Peter George JENNER</b></p> <p><b>Address</b>  <b>Hodgkins Building Guys Campus</b>  <b>Kings College</b>  <b>London</b>  <b>SE1 1UL</b></p> <p><b>Date of birth</b> 06/07/1946</p> <p><b>Nationality</b> British</p> <p><b>Occupation</b> Director</p>	<p><b>Name</b></p> <hr/> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b></p> <hr/> <hr/> <p>UK Postcode _____</p> <p>Date of birth ____ / ____ / _____</p> <p>Nationality _____</p> <p>Occupation _____</p> <p>Date of change ____ / ____ / _____</p> <p>Date Professor Peter George JENNER ceased to be director (if applicable)          ____ / ____ / _____</p>
<p><b>&gt; Director</b>  <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p> <p><i>Particulars of a new Director must be notified on form 288a.</i></p>	<p><b>Name</b>  <b>Dr Kenneth MULVANY</b></p> <p>This is a service address for the beneficiary of a Confidentiality Order.</p> <p><b>Address</b>  <b>Hodgkin Building</b>  <b>Guys Campus, Kings College</b>  <b>London</b>  <b>SE1 1UL</b></p> <p><b>Date of birth</b> 02/01/1968</p> <p><b>Nationality</b> British</p> <p><b>Occupation</b> Business Executive</p>	<p><b>Name</b></p> <hr/> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b></p> <hr/> <hr/> <p>UK Postcode _____</p> <p>Date of birth ____ / ____ / _____</p> <p>Nationality _____</p> <p>Occupation _____</p> <p>Date of change ____ / ____ / _____</p> <p>Date Dr Kenneth MULVANY ceased to be director (if applicable)          ____ / ____ / _____</p>

**Current details**

**Amended details**

**> Director**

*If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Name**  
**Dr Nigel Richard WHITTLE**

This is a service address for the beneficiary of a Confidentiality Order.

**Address**  
**Kings College London**  
**London**  
**SE1 1UL**

**Date of birth** 14/06/1958

**Nationality** British

**Occupation** Business Executive

Name

Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

UK Postcode \_ \_ \_ \_ \_

Date of birth \_ \_ / \_ \_ / \_ \_ \_ \_

Nationality \_\_\_\_\_

Occupation \_\_\_\_\_

Date of change \_ \_ / \_ \_ / \_ \_ \_ \_

Date Dr Nigel Richard WHITTLE ceased to be director (if applicable)

\_ \_ / \_ \_ / \_ \_ \_ \_

*Particulars of a new Director must be notified on form 288a.*

**Issued share capital details**

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share	Number of shares issued
<u>ORDINARY 1P SHARE</u>	<u>20,035,622</u>
	Aggregate Nominal Value of issued shares
	<u>£200,356</u>

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares
	_____

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares
	_____

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares
	_____

> Please fill in the total number of issued shares and their total nominal value.

Number of shares issued
<u>20,035,622</u>
Aggregate Nominal Value of issued shares
<u>200,356</u>

**List of past and present members (Tick appropriate box)**

> Please complete the required information on the attached schedules or in another format agreed by Companies House.

- There were no changes during the period
- A list of changes is enclosed
- A full list of members is enclosed **AS OF 1 FEB 2007**

The last full list of members was received on: 14/01/2006

> **REMEMBER:**

**Changes** to shareholder particulars or details of shares transferred to be **completed each year**  
 A full list of shareholders is required with the first and every third Annual Return thereafter  
 List shareholders in alphabetical order or provide an index  
 List joint shareholders consecutively

**Section 4: Details of New Shareholders and Transfers (A) (ii)**

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (if appropriate)	Date of registration of transfer (if appropriate)
Name _____ Address _____ _____ _____ UK Postcode    - - - - -			
Name _____ Address _____ _____ _____ UK Postcode    - - - - -			
Name _____ Address _____ _____ _____ UK Postcode    - - - - -			
Name _____ Address _____ _____ _____ UK Postcode    - - - - -			

**Section 4B: Details of Former Shareholders**

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		



# 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

## 1. Declaration

I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £30.

Signature James Hunter  
(Director / Secretary)

Date 04 / 02 / 2007

*This date must not be earlier than the return date at 2 below*

### What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

## 2. Date of this return

This AR is made up to 14/1/2007

If you are making this return up to an earlier date, please give the date here

\_\_\_ / \_\_\_ / \_\_\_

*Note: The form must be delivered to CH within 28 days of this date*

## 3. Date of next return

If you wish to change your next return to a date earlier than **14th January 2008** please give the new date here:

\_\_\_ / \_\_\_ / \_\_\_

## 4. Where to send this form

Please return this form to:

Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ

OR

For members of the Hays Document  
Exchange service  
DX 33050 Cardiff

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

## Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name JAMES HUNTER

Telephone number *inc code* 0207 8488158

Address HUNTER BUILDING  
GUY'S CAMPUS KING'S  
COLLEGE, LONDON

DX number *if applicable*

DX exchange

Postcode SE1 1UL

Proximagen Neuroscience plc shareholders as at 1 February 2007

Main holder	Address						Share holding
THE BANK OF NEW YORK (NOMINEES)	ONE PICCADILLY GARDENS	MANCHESTER					M1 1RN
BARCLAYSHARE NOMINEES LIMITED	1 CHURCHILL PLACE	LONDON					E14 5HP
BARNAIRD NOMINEES LTD	17-21 NEW CENTURY ROAD	LONDON					5,280
BHSL NOMINEES LIMITED	5TH FLOOR	MARINER HOUSE					70,000
MRS ROSALEEN BLACK	78 HURLEY ROAD	LITTLE CORBY					180,000
BNY GIL CLIENT ACCOUNT (NOMINEES)	ONE PICCADILLY GARDENS	MANCHESTER					690
BNY (GCS) NOMINEES LIMITED	ONE PICCADILLY GARDENS	MANCHESTER					146,563
DAVID BRUCE CAMPBELL	308 BUTLERS WHARF	36 SHAD THAMES					M1 1RN
CHASE NOMINEES LIMITED	P O BOX 18171	125 LONDON WALL					M1 1RN
CHASE NOMINEES LIMITED	P O BOX 18171	125 LONDON WALL					67,967
CHASE NOMINEES LIMITED	P O BOX 18171	125 LONDON WALL					618,500
CHASE NOMINEES LIMITED	P O BOX 18171	125 LONDON WALL					50,000
CHASE NOMINEES LIMITED	P O BOX 18171	125 LONDON WALL					EC2Y 5AJ
CREDIT SUISSE SECURITIES (EUROPE)	1 CABOT SQUARE	LONDON					925,946
MR JASON DALTON	6 LEWISWORTH DRIVE	LONDON					EC2Y 5AJ
MRS BERYL DAVEY	ROPER END	LANCASTER					E14 4QJ
JOHN DAVIES ESQ	GORLLAWN	NEWBERRY HILL					LA1 4RJ
MRS MARGARET MARY DAVIES	52 WINDSOR AVENUE	PENDOLYAN					EX34 8SS
EVOLUTION SECURITIES NOMINEES	100 WOOD STREET	RADYR					CF71 7UJ
FITEL NOMINEES LIMITED	11 ST JAMES SQUARE	LONDON					CF15 8BY
GOLDMAN SACHS SECURITIES (NOMINEES)	PETERBOROUGH COURT	MANCHESTER					EC2V 7AN
MS VIVIEN HELEN GRAVESON	11 ST MICHAELS STREET	ST ALBANS					M2 6WH
HAREWOOD NOMINEES LIMITED	55 MOORGATE	4TH FLOOR					EC4A 2BB
HAREWOOD NOMINEES LIMITED	55 MOORGATE	4TH FLOOR					AL3 4SP
HAREWOOD NOMINEES LIMITED	55 MOORGATE	4TH FLOOR					EC2R 8PA
HSBC GLOBAL CUSTODY NOMINEE (UK)	MARINER HOUSE	PEPYS STREET					EC2R 8PA
HSBC GLOBAL CUSTODY NOMINEE (UK)	MARINER HOUSE	PEPYS STREET					EC3N 4DA
HSBC GLOBAL CUSTODY NOMINEE (UK)	MARINER HOUSE	PEPYS STREET					EC3N 4DA
HSBC GLOBAL CUSTODY NOMINEE (UK)	MARINER HOUSE	PEPYS STREET					EC3N 4DA
HSBC GLOBAL CUSTODY NOMINEE (UK)	MARINER HOUSE	PEPYS STREET					EC3N 4DA
HSDL NOMINEES LIMITED	TRINITY ROAD	HALIFAX					HX1 2RG
IDEALING NOMINEES LIMITED	114 MIDDLESEX STREET	LONDON					E1 7HY
IP2IPO LIMITED	FAO MR JOHN DAVIES	59 ST ALDATES					OX1 1ST
PROFESSOR PETER GEORGE JENNER	HODGKIN BUILDING	59 ST ALDATES					OX1 1ST
JIM NOMINEES LIMITED	15/17 MOUNT EPHRAIM ROAD	GUNBURY WELLS					SE1 1UL
KBC PEEL HUNT LTD	111 OLD BROAD STREET	TUNBRIDGE WELLS					TN1 1EN
KINGS COLLEGE LONDON	FAO STEPHEN LARGE	LONDON					EC2N 1PH
L F R NOMINEES LIMITED	LLOYDSTSB REGISTRARS	THE STRAND					WC2R 2LS
MELLON NOMINEES (UK) LIMITED	P O BOX 39996	CUSTODY DEPT					EC4R 0AN
MORSTAN NOMINEES LIMITED	25 CABOT SQUARE	160 QUEEN VICTORIA STREET					EC4V 4LA
KENNETH MULLVANY ESQ	52 QUEENS GATE MEWS	CANARY WHARF					E14 4QA
DR KENNETH PATRICK MULLVANY	HODGKIN BUILDING	LONDON					SW7 5DN
NATEXIS BLEICHOEDER INC	CITIGROUP CENTRE	GUNBURY WELLS					SE1 1UL
NORTRUST NOMINEES LIMITED	50 BANK STREET	CANARY SQUARE					E14 5LB
NORTRUST NOMINEES LIMITED	50 BANK STREET	CANARY WHARF					E14 5NT
NORTRUST NOMINEES LIMITED	50 BANK STREET	CANARY WHARF					E14 5NT
NORTRUST NOMINEES LIMITED	50 BANK STREET	CANARY WHARF					E14 5NT
NUTRACO NOMINEES LIMITED	ONE PICCADILLY GARDENS	MANCHESTER					M1 1RN
N.Y. NOMINEES LIMITED	PO BOX 293	20 FARRINGDON ROAD					EC1M 3NH
MRS NOEL PAUL OLDERSHAW	185A OADBURY ROAD,	WIGSTON					LE18 3PW
PERSHING KEEN NOMINEES LIMITED	CARSTAN HOUSE	CITY ROAD					E14 2BH
P H NOMINEES LIMITED	ONE OLIVERS YARD	CITY ROAD					EC1Y 7HQ
RAVEN NOMINEES LIMITED	84 ALBION STREET	LEEDS					E14 9TP
REDMAYNE (NOMINEES) LIMITED	71 QUEEN VICTORIA STREET	LONDON					LS1 6AG
ROY NOMINEES LIMITED	25 LAWRENCE HILL ROAD	DARTFORD					EC4V 4DE
ROY NOMINEES LIMITED	7 OLD SCHOOL LANE	BOTTESFORD					EC4V 4DE
MR DANIEL JOHN KENNEDY SIMS	EXCHANGE COURT	DUNCOMBE STREET					DA1 3AG
CYRIL SMITH ESQ	38A STRATHLANE ROAD	LONDON					DN16 3RD
TD WATERHOUSE NOMINEES (EUROPE)	CITIGROUP CENTRE	CANADA SQUARE					LS1 4AX
MR WILLIAM TURNER	3 THE PADDOCKS	LONG LANE					SW11 1RG
VIDACOS NOMINEES LIMITED	11 ARNOLD ROAD	OXFORD					E14 5LB
VIDACOS NOMINEES LIMITED	27 EMPRESS AVENUE	WEST MERSEA					E14 5LB
MR DENNIS WINTERS	THE ATRIUM BUILDING	CANNON BRIDGE					EC4R 2GA
WOLANSKI AND CO TRUSTEES LIMITED	19 SPRINGFIELD ROAD	MIDDLETON					M24 5DL
	131 FINSBURY PAVEMENT	LONDON					EC2A 1NT