

Halifax
£15x5
727088

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010

Company Number

2799756

Company Name in full

Principal Portfolios 4 PLC

Date of this return

The information in this return is made up to

Day Month Year
1 0 0 3 2 0 0 1

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year
| | | | | | | |

Registered Office

Show here the address at the date of this return.

Trinity Road

Any change of registered office **must** be notified on form 287.

Post town

HALIFAX

County / Region

West Yorkshire

UK Postcode

HX1 2RG ✓

Principal business activities

Show trade classification code number(s) for the principal activity or activities.

7032

If the code number cannot be determined, give a brief description of principal activity.

|
|
|



When you have completed and signed the form please send it to the Registrar of Companies at:
Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales
or
Companies House 37 Castle Terrace Edinburgh EH1 2EB

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Name

* Style / Title

Forename(s)

Surname

Address

Post town

County / Region

Country

Matthew Sebastian

Lodge

Rose Cottage Dog Lane, Stainland

Halifax

West Yorkshire

UK Postcode

HX4 9QF

England

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	_____		
		Day	Month	Year
Date of birth		1	0	0 4
		1	9	4 3
Forename(s)		Anthony Wilfrid		
Surname		Jukes		
Address		Potts Farm, Chennell Park Lane		

Post town		Tenterden		
County / Region		Kent	UK Postcode	TN30 6XA
Country		United Kingdom	Nationality	British
Business occupation		Company Director		

* Voluntary details.

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	_____		
		Day	Month	Year
Date of birth		1	5	0 8
		1	9	5 3
Forename(s)		Stephen John		
Surname		Peters		
Address		"Birches", 65 St George's Road West		

Post town		Bickley		
County / Region		Kent	UK Postcode	BR1 2NR
Country		United Kingdom	Nationality	British
Business occupation		Deputy Head of Asset Finance		

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	_____		
		Day	Month	Year
Date of birth		1	0	0 6 1 9 5 5
Forename(s)	James Douglas Mortimer			
Surname	Smallwood			
Address	4 The Chestnuts			
Usual residential address	_____			
Post town	Hertford			
County / Region	Hertfordshire	UK Postcode	SG13 8AQ	
Country	_____	Nationality	British	
Business occupation	Manager			

* Voluntary details.

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	_____		
		Day	Month	Year
Date of birth		2	7	0 2 1 9 5 9
Forename(s)	Stephen Rosenstjerne			
Surname	Krag			
Address	Lee House, 40 Pinkney's Drive			
Usual residential address	_____			
Post town	Maidenhead			
County / Region	Berkshire	UK Postcode	SL6 6QE	
Country	_____	Nationality	British/American	
Business occupation	Chartered Accountant			

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title | Mr _____
 Day Month Year
 Date of birth | 1 | 0 | 0 | 8 | 1 | 9 | 5 | 4
 Forename(s) | Lindsay John _____
 Surname | Town _____
Address | 10 Ferndale _____

 Post town | Tunbridge Wells _____
 County / Region | Kent _____ UK Postcode | TN2 3PB _____
 Country | _____ Nationality | British _____
Business occupation | Head of Asset Finance _____

* Voluntary details.

Name * Style / Title | _____
 Day Month Year
 Date of birth | | | | | | | |
 Forename(s) | _____
 Surname | _____
Address | _____

 Post town | _____
 County / Region | _____ UK Postcode | _____
 Country | _____ Nationality | _____
Business occupation | _____

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class <i>(e.g. Ordinary/Preference)</i>	Number of shares issued	Aggregate Nominal Value <i>(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)</i>
ORDINARY	960,001	480,000.50
ORDINARY A Shares	1	0.50
Totals	960,002	480,001.00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

on paper

in another format

A list of changes is enclosed

A full list of shareholders is enclosed

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

Maximus

Date

13/03/01

† Please delete as appropriate.

† a-director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House.

This return includes

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Assistant Secretary, Halifax plc, Trinity Road,

Halifax, West Yorkshire, HX1 2RG

Tel: 01422 333333

Fax: 01422 333000

Tel

DX number _____ DX exchange _____



**List of past and present shareholders
Schedule to form 363a**

CHFP010 **Company Number** | 2799756

Company Name in full | Principal Portfolios 4 PLC

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Halifax plc <hr/> Address Trinity Road, HALIFAX, West Yorkshire, England <hr/> UK postcode HX1 2RG	£0.50 ORDINARY Shares Held 960,001	Shares or amount of stock transferred <i>(if appropriate)</i>	
Name <hr/> Address <hr/> UK postcode			
Name <hr/> Address <hr/> UK postcode			

**List of past and present shareholders
Schedule to form 363a**

CHFP010 **Company Number** | 2799756

Company Name in full | Principal Portfolios 4 PLC

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Halifax plc <hr/> Address Trinity Road, HALIFAX, West Yorkshire, England <hr/> UK postcode HX1 2RG	£0.50 ORDINARY A Shares Shares Held 1	Shares or amount of stock transferred <i>(if appropriate)</i>	
Name <hr/> Address <hr/> UK postcode			
Name <hr/> Address <hr/> UK postcode			