



Companies House

AR01 (ef)

Annual Return



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Company Name: **EQUITIES FIRST (LONDON) LIMITED**

Company Number: **08120457**

Date of this return: **27/06/2015**

SIC codes: **64999**

Company Type: **Private company limited by shares**

Situation of Registered Office: **C/O MAURICE J BUSHELL & CO
THIRD FLOOR 120 MOORGATE
LONDON
ENGLAND
EC2M 6UR**

Officers of the company

Company Director 1

Type: **Person**
Full forename(s): **ALEXANDER CHARLES**

Surname: **CHRISTY JR**

Former names:

Service Address: **THE PROW 1 WILDER WALK
LONDON
LONDON
UNITED KINGDOM
W1B 5AP**

Country/State Usually Resident: **USA INDIANA**

Date of Birth: **18/10/1960** *Nationality:* **UNITED STATES CITIZEN**

Occupation: **PRESIDENT OF EQUITIES FIRST
HOLDINGS LLC**

Company Director 2

Type: **Person**
Full forename(s): **MR DALLAS JOHN**

Surname: **MCGILLIVRAY**

Former names:

Service Address: **THE ROW 1 WILDER WALK
LONDON
LONDON
UNITED KINGDOM
W1B 5AP**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **27/01/1958** *Nationality:* **AUSTRALIAN**

Occupation: **FINANCIAL SERVICES
CONSULTANT**

Company Director 3

Type: **Person**
Full forename(s): **SIMON CHARLES**

Surname: **MOORE**

Former names:

Service Address: **THE PROW 1 WILDER WALK
LONDON
LONDON
UNITED KINGDOM
W1B 5AP**

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **10/09/1965** *Nationality:* **BRITISH**

Occupation: **FINANCIAL SERVICES
CONSULTANT**

Company Director 4

Type: **Person**
Full forename(s): **MR JAMES FRANCIS**

Surname: **MUNGOVAN**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **13/06/1979** Nationality: **BRITISH**

Occupation: **SOLICITOR**

Company Director 5

Type: **Person**
Full forename(s): **MR ALAN DENNIS**

Surname: **PEREIRA**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **09/10/1949** Nationality: **BRITISH**

Occupation: **CHARTERED ACCOUNTANT**

Statement of Capital (Share Capital)

Class of shares	ORDINARY SHARES	<i>Number allotted</i>	1
		<i>Aggregate nominal value</i>	1
<i>Currency</i>	GBP	<i>Amount paid per share</i>	2441.19
		<i>Amount unpaid per share</i>	0

Prescribed particulars

THE ORDINARY SHARES ARE ALL TREATED EQUALLY AND RANK PARI PASSU AS TO DIVIDENDS AND COMPANY DISTRIBUTION AND ARE ALL VOTING SHARES BEING ALL ORDINARY SHARES AT £1 EACH.

Class of shares	ORDINARY SHARES	<i>Number allotted</i>	800099
		<i>Aggregate nominal value</i>	800099
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

THE ORDINARY SHARES ARE ALL TREATED EQUALLY AND RANK PARI PASSU AS TO DIVIDENDS AND COMPANY DISTRIBUTION AND ARE ALL VOTING SHARES BEING ALL ORDINARY SHARES AT £1 EACH.

Class of shares	ORDINARY SHARES	<i>Number allotted</i>	49900
		<i>Aggregate nominal value</i>	49900
<i>Currency</i>	GBP	<i>Amount paid per share</i>	8.01402
		<i>Amount unpaid per share</i>	0

Prescribed particulars

THE ORDINARY SHARES ARE ALL TREATED EQUALLY AND RANK PARI PASSU AS TO DIVIDENDS AND COMPANY DISTRIBUTION AND ARE ALL VOTING SHARES BEING ALL ORDINARY SHARES AT £1 EACH.

Class of shares	PERP NON-CUMULATIVE	<i>Number allotted</i>	3325000
	PREFERENCE	<i>Aggregate nominal value</i>	3325000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

THE DIVIDENDS PAYABLE ON EACH PREFERENCE SHARE WILL BE 5%; NO PREFERENCE SHARE WILL CARRY VOTING RIGHTS; EACH PREFERENCE SHARE WILL HAVE PREFERRED RIGHTS AS TO DIVIDENDS, BUT NO PREFERENTIAL RIGHTS WHEN COMPARED TO ORDINARY SHARES IN THE COMPANY; AND THE PERPETUAL NON-CUMULATIVE PREFERENCE SHARES WILL BE REDEEMABLE AT PAR, WHETHER IN WHOLE OR IN PART, AT ANY TIME AT THE DISCRETION OF THE DIRECTORS, PROVIDED THAT THE DIRECTORS REASONABLY BELIEVED THAT THE COMPANY WOULD SATISFY FCA'S FINANCIAL REQUIREMENTS IMMEDIATELY AFTER THE REDEMPTION.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	4175000
		<i>Total aggregate nominal value</i>	4175000

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 27/06/2015 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

Shareholding 1 : **850000 ORDINARY SHARES** shares held as at the date of this return
Name: EQUITY FIRST HOLDINGS LLC

Shareholding 2 : **0 ORDINARY SHARES** shares held as at the date of this return
Name: EQUITY FIRST HOLDINGS LLC

Shareholding 3 : **3325000 PERP NON-CUMULATIVE PREFERENCE** shares held as at the date of this return
Name: EQUITY FIRST HOLDINGS LLC

Shareholding 4 : **0 PERP NON-CUMULATIVE PREFERENCE** shares held as at the date of this return
Name: EQUITY FIRST HOLDINGS LLC

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.